

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 046124-5122
International Application. No.	International Filing Date	U.S. Application No. Unassigned 10/089866
PCT/JP00/06998 ✓	October 6, 2000 ✓	Priority Date Claimed October 7, 1999 ✓

Title of Invention:

WAVELENGTH-VARIABLE LIGHT OUTPUTTING APPARATUS

Applicants For EO/EO/US

(1) Norifumi TOMITA (2) Yoshio ISOBE (3) Hiroto TERAHA (4) Yoshinori MIZUGUCHI

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A copy of the International Application as filed has been transmitted by the International Bureau.
7. ☒ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
10. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 12. to 15. below concern other document(s) or information included:

12. ☐ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
14. ☐ A FIRST preliminary amendment.
15. ☐ A SECOND or SUBSEQUENT preliminary amendment.

10/089866

PCT/JP00/06998

046124-5122

15. ☒ Other items or information:
- a) Notice Informing the Applicant of the Communication of the International Application to the Designated Offices of PCT/JP00/06998 (Form PCT/IB/308)
 - b) Notification Concerning Submission or Transmittal of Priority Document of PCT/JP00/06998 (Form PCT/IB/304)
 - c) International Search Report (Form PCT/ISA/210 (second sheet))
 - d) International Preliminary Examination Report (Form PCT/IPEA/409)
 - e) Cover Page of WIPO Publication WO 01/22190 (including English-language Abstract)

16. ☒ The following fees are submitted:

Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO... \$890.00

International preliminary examination fee paid to

USPTO (37 C.F.R. § 1.482).....\$710.00

No international preliminary examination fee paid to

USPTO (37 C.F.R. § 1.482) but international search fee

paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00

Neither international preliminary examination fee

(37 C.F.R. § 1.482) nor international search fee

(37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00

International preliminary examination fee paid to USPTO

(37 C.F.R. § 1.482) and all claims satisfied provisions

of PCT Article 33(2)-(4).....\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$890.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☐ 30 months from the earliest claimed priority date

(37 C.F.R. § 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate	
Total Claims	3 - 20 =	0	X \$18.00	\$
Independent Claims	1 - 3 =	0	X \$84.00	\$
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$
TOTAL OF ABOVE CALCULATIONS				\$890.00
Reduction by ½ for filing by small entity, if applicable.				
Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
SUBTOTAL =				\$890.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
TOTAL NATIONAL FEE =				\$890.00
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)).				
The Assignment must be accompanied by an appropriate cover sheet				
(37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$ 40.00
TOTAL FEES ENCLOSED =				\$930.00
Amount to be refunded				\$
Amount to be charged				\$930.00

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No. 50-0310 in the amount of \$930.00 to cover the above fees. **A duplicate copy of this sheet is enclosed.**

U.S. APPLICATION NO. 10/069863 INTERNATIONAL APPLICATION NO. 1 ATTORNEY DOCKET NUMBER

Unassigned

PCT/JP00/06998

046124-5122

c.

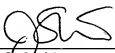


Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Customer No. 009629

SEND ALL CORRESPONDENCE TO:

Morgan, Lewis & Bockius LLP
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
Telephone: (202) 739-3000
Facsimile: (202) 739-3001


John C. Smith

Registration No. 33,818

Submitted: April 5, 2002